

1 WILLIAM P. WOOD
 California Corporations Commissioner
 2 VIRGINIA JO DUNLAP (CA BAR NO. 142221)
 Deputy Commissioner
 3 JILL L. JABLONOW (CA BAR NO. 127620)
 Senior Corporations Counsel
 4 NICHOLAS LANZA (CA BAR NO. 124721)
 Senior Corporations Counsel
 5 DEPARTMENT OF CORPORATIONS
 320 West 4th Street, Suite 750
 6 Los Angeles, California 90013-2344
 Telephone: 1 (888) 877-5379, ask for: (213) 576-7189
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8 Attorneys for Complainant

9 BEFORE THE DEPARTMENT OF CORPORATIONS
 10 OF THE STATE OF CALIFORNIA
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12 In the Matter of) FILE NO. 923-4173
)
13 THE CALIFORNIA CORPORATIONS) NOTICE OF INTENTION TO ISSUE ORDER
14 COMMISSIONER,) REVOKING THE INVESTMENT ADVISER
) CERTIFICATE OF C+ CAPITAL
15 Complainant,) MANAGEMENT, LLC
)
16 v.)
)
17 C+ CAPITAL MANAGEMENT, LLC.,)
)
18 Respondent.)
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 22 TO: C+ Capital Management, LLC
 Won Charlie Yi, Managing Member
 23 601 S. Figueroa, Suite 3600
 24 Los Angeles, California 90017

25 Pursuant to Corporations Code section 25233, notice is hereby given of the California
 26 Corporations Commissioner's intention to enter an order revoking the investment adviser certificate
 27 of C+ Capital Management, LLC pursuant to Corporations Code section 25232, subdivisions (c) and
 28 (e).

1 The attached Accusation, which is incorporated by this reference, states the reasons for the
2 intended order.

3 Unless a request for a hearing, as evidenced by the mailing or delivery of the Notice of
4 Defense, is received within 30 days after the Accusation was personally served on you or mailed to
5 you, such order may be entered at any time thereafter without a hearing.

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7 Dated: May 25, 2004
8 Los Angeles, California

9 WILLIAM P. WOOD
10 California Corporations Commissioner

11 By _____
12 NICHOLAS LANZA
13 Senior Corporations Counsel
14 Enforcement and Legal Services Division
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